

# Strata and stabilizers of trees

Vincent Guirardel  
Joint work with G. Levitt

Institut de Mathématiques de Toulouse



# Goal of the talk

Outer space  $CV_N = \{\text{minimal free actions of } \mathbb{F}_N \text{ on simplicial trees}\} / \sim$ .

Compactification  $\overline{CV}_N = \{\text{minimal } \textit{very small} \text{ actions on } \mathbb{R}\text{-trees}\} / \sim$ .

Main example: action with trivial arc stabilizers.

## Goal

Given  $T \in \overline{CV}_N$ , find some structure that more or less parallels the strata of a relative train track map.

# Goal of the talk

Outer space  $CV_N = \{\text{minimal free actions of } \mathbb{F}_N \text{ on simplicial trees}\} / \sim$ .

Compactification  $\overline{CV}_N = \{\text{minimal very small actions on } \mathbb{R}\text{-trees}\} / \sim$ .

Main example: action with trivial arc stabilizers.

## Goal

Given  $T \in \overline{CV}_N$ , find some structure that more or less parallels the strata of a relative train track map.

## Applications

Give some kind of decomposition of any  $T \in \overline{CV}_N$  into simple building blocks.

Understand the stabilizer of  $T$  in  $\text{Out}(\mathbb{F}_N)$ .

# An example

$\alpha$  automorphism of  $\langle a, b, c, d \rangle$ :

$$\alpha : \begin{cases} a \mapsto ab \\ b \mapsto bab \end{cases}$$

# An example

$\alpha$  automorphism of  $\langle a, b, c, d \rangle$ :

$$\alpha : \begin{cases} c & \mapsto d \\ d & \mapsto cad \\ a & \mapsto ab \\ b & \mapsto bab \end{cases}$$

# An example

$\alpha$  automorphism of  $\langle a, b, c, d \rangle$ :

$$\alpha : \begin{cases} c \mapsto d \\ d \mapsto cad \\ a \mapsto ab \\ b \mapsto bab \end{cases} \quad \begin{aligned} &\#\{c, d\} \approx (1.6)^k \\ &\quad \wedge \\ &\#\{a, b\} \approx (2.6)^k \end{aligned}$$

successive images of  $d$ :

$d$

$cad$

$cadabbab$

$cadabbab$

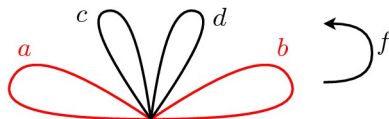
$cadabbab$

$cadabbab$

# An example

$\alpha$  automorphism of  $\langle a, b, c, d \rangle$ :

$$\alpha : \begin{cases} c & \mapsto d \\ d & \mapsto cad \\ a & \mapsto ab \\ b & \mapsto bab \end{cases}$$

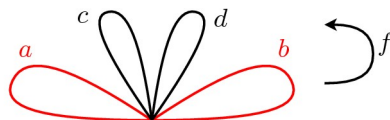




# An example

$\alpha$  automorphism of  $\langle a, b, c, d \rangle$ :

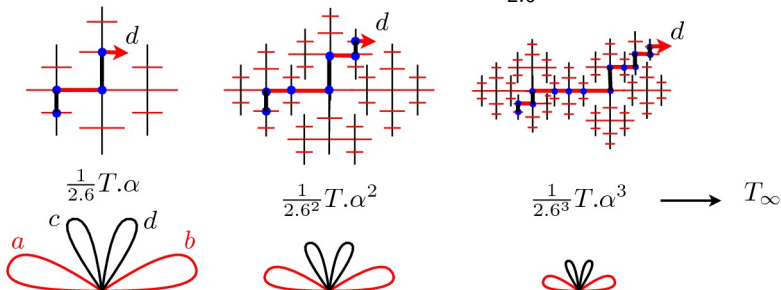
$$\alpha : \begin{cases} c & \mapsto d \\ d & \mapsto cad \\ a & \mapsto ab \\ b & \mapsto bab \end{cases}$$



successive images of the path  $d$ , rescaled by  $2.6^k$

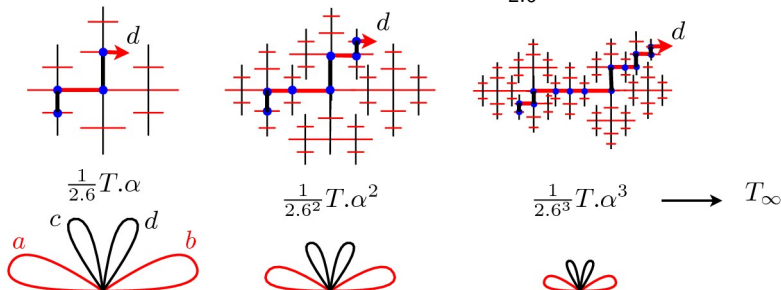


Tree interpretation: axis of the element  $d$  on  $\frac{1}{2.6^k} T \cdot \alpha^k$ .



At the limit:  $F_N$  acts on some  $\mathbb{R}$ -tree  $T_\infty$ .

Tree interpretation: axis of the element  $d$  on  $\frac{1}{2.6^k} T \cdot \alpha^k$ .



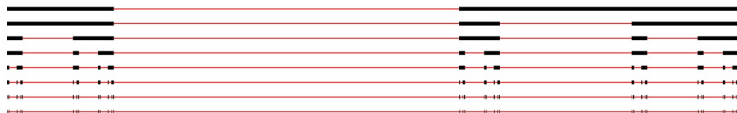
At the limit:  $F_N$  acts on some  $\mathbb{R}$ -tree  $T_\infty$ .

## Facts

- $T_\infty$  is  $\alpha$ -invariant: there exists an  $\alpha$ -equivariant homothety  $H_\alpha : T_\infty \rightarrow T_\infty$
- $\langle a, b \rangle$  preserves a subtree  $Y \subset T_\infty$ ,  $Y$  is  $H_\alpha$ -invariant.
- $Y$  is closed and disjoint from its translates

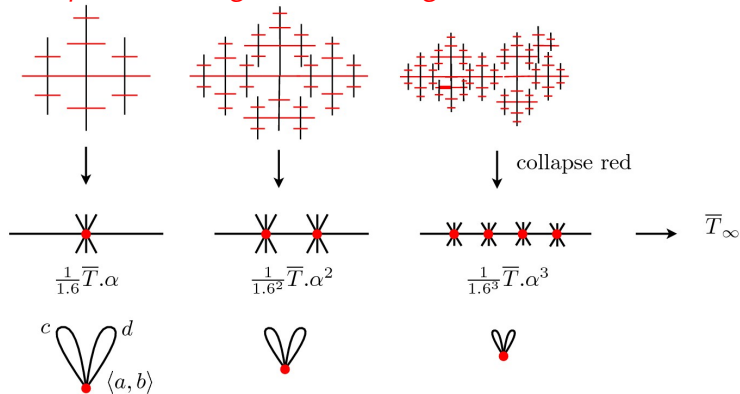
One can collapse  $Y$  equivariantly and get a topological  $\mathbb{R}$ -tree, with an action of  $F_N$ :

$$Y \hookrightarrow T_\infty \twoheadrightarrow T/Y$$



Other description of the collapsed tree:  $T/Y = \bar{T}_\infty$ .

*Collapse all red edges before taking limit:*



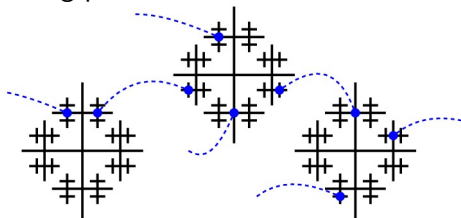
## Theorem [G-Levitt]

Any  $T \in \overline{CV}_N$  can be obtained from simplicial trees and *mixing* trees by iterating two constructions:

- extensions  $Y \hookrightarrow T \twoheadrightarrow T/Y$
- graph of actions

**Mixing:** minimality condition  $\Rightarrow$  every orbits meets every segment in a dense set.

**Graph of actions** = Free amalgamated product of actions on  $\mathbb{R}$ -trees, glued along points.



## Theorem [G-Levitt]

Any  $T \in \overline{CV}_N$  can be obtained from simplicial trees and *mixing* trees by iterating two constructions:

- extensions  $Y \hookrightarrow T \twoheadrightarrow T/Y$
- graph of actions

Remark: this obliges to consider topological  $\mathbb{R}$ -trees, with (non-nesting) actions by homeomorphisms.

If mixing, such topological actions have an invariant metric.

# Admissible subtrees

To simplify, assume  $T$  has no simplicial arc (branch points are dense), arc stabilizers are trivial.

## Definition

A subtree  $Y \subset T$  is *admissible* if  $Y$  is not a point and any two distinct translates of  $Y$  are disjoint.



# Admissible subtrees

To simplify, assume  $T$  has no simplicial arc (branch points are dense), arc stabilizers are trivial.

## Definition

A subtree  $Y \subset T$  is *admissible* if  $Y$  is not a point and any two distinct translates of  $Y$  are disjoint.

**Example 1.**  $Y \subset T_\infty$  above.

# Admissible subtrees

To simplify, assume  $T$  has no simplicial arc (branch points are dense), arc stabilizers are trivial.

## Definition

A subtree  $Y \subset T$  is *admissible* if  $Y$  is not a point and any two distinct translates of  $Y$  are disjoint.

**Example 1.**  $Y \subset T_\infty$  above.

**Example 2.** If  $T$  is simplicial,  $Y$  admissible  $\Leftrightarrow Y$  subgraph of groups

$$A_0 *_{C_1} A_1 *_{C_2} A_2$$

# Admissible subtrees

To simplify, assume  $T$  has no simplicial arc (branch points are dense), arc stabilizers are trivial.

## Definition

A subtree  $Y \subset T$  is *admissible* if  $Y$  is not a point and any two distinct translates of  $Y$  are disjoint.

**Example 1.**  $Y \subset T_\infty$  above.

**Example 2.** If  $T$  is simplicial,  $Y$  admissible  $\Leftrightarrow Y$  subgraph of groups

$$A_0 *_{C_1} A_1 *_{C_2} A_2$$

**Example 3.**  $T$  is mixing if and only if it has no admissible subtree.

# Main finiteness result

## Main finiteness result [G-Levitt]

There are only finitely many orbits of admissible subtrees  $Y \subset T$ .

For each admissible  $Y$ ,  $\partial Y$  consists of finitely many orbits.

# Main finiteness result

## Main finiteness result [G-Levitt]

There are only finitely many orbits of admissible subtrees  $Y \subset T$ .

For each admissible  $Y$ ,  $\partial Y$  consists of finitely many orbits.

## Next goal

Use this theorem to understand the  $\text{Out}(F_N)$ -stabilizer of  $T$ .

Projective stabilizer  $\text{Aut}([T]) =$

set of  $\alpha \in \text{Aut}(F_N)$  s.t.  $\exists$   $\alpha$ -equivariant *homothety*  $H_\alpha : T \rightarrow T$ .

Isometric stabilizer:  $\text{Aut}(T) =$

set of  $\alpha \in \text{Aut}(F_N)$  s.t.  $\exists$   $\alpha$ -equivariant *isometry*  $H_\alpha : T \rightarrow T$ .

$\text{Out}([T])$  and  $\text{Out}(T) =$  their images in  $\text{Out}(F_N)$ .

# Stabilizer of a simplicial tree

$\Gamma$  a graph of groups,  $T = \tilde{\Gamma}$  Bass-Serre tree.

General facts:

- 1  $\text{Out}_0(\tilde{\Gamma}) \subset \text{Out}(\tilde{\Gamma})$  finite index subgroup acting trivially on  $\Gamma$ .
- 2 There is a map  $\rho : \text{Out}_0(\tilde{\Gamma}) \rightarrow \prod_v \text{Out}(G_v)$
- 3 Dehn twists are in the kernel of  $\rho$
- 4 Elements of  $\text{Out}(G_v)$  which act like a conjugation on each edge group are in the image of  $\rho$

Def: McCool group

Fix  $\{E_1, \dots, E_n\}$  some subgroups in free group  $F_k$ . The set of automorphisms  $\alpha \in \text{Out}(F_k)$  acting like a conjugation on each  $E_i$  is a *McCool* group.

## Theorem (G-Levitt)

Fix  $T \in \overline{CV}_N$ .

- $\text{Out}(T)$  has a finite index subgroup  $\text{Out}_0(T)$  s.t.

$$1 \rightarrow \prod \text{free groups} \rightarrow \text{Out}_0(T) \rightarrow \prod \text{McCool gps} \rightarrow 1$$

- The set of scaling factors of  $\text{Out}([T])$  is a cyclic subgroup of  $\mathbb{R}_+^*$  [Lustig]

Remark: the McCool groups are McCool groups of point stabilizers. The free groups correspond to Dehn twists.

## Proposition

McCool groups virtually have a finite classifying space.

## Corollary

So does the stabilizer of  $T$  in  $\text{Out}(F_N)$ .

# Proof

Idea: construct a simplicial tree  $\tilde{\Gamma}$  on which  $\text{Out}(T)$  acts.

- 1 All automorphisms  $\alpha$  in some finite index subgroup of  $\text{Out}_0(T) \subset \text{Out}(T)$  are *piecewise- $F_N$* .
- 2  $\text{Out}_0(T)$  is *uniformly piecewise- $F_N$* : there exists a piecewise decomposition of  $T$  that is compatible with every  $\alpha \in \text{Out}_0(T)$ .
- 3 There is a simplicial tree  $\tilde{\Gamma}$  dual to this piecewise decomposition
- 4  $\text{Out}_0(T)$  occurs as an extension of McCool groups by Dehn twists in  $\Gamma$ .