

## 2. KOSZUL MODULES

Suppose that  $V$  is an  $n$ -dimensional  $\mathbf{k}$ -vector space and fix a subspace  $K \subseteq \bigwedge^2 V$  with  $\dim(K) = m$ . We denote by  $S := \text{Sym}(V)$  the symmetric algebra over  $V$  and consider the Koszul complex resolving the residue field  $\mathbf{k}$ :

$$\cdots \longrightarrow \bigwedge^3 V \otimes S \xrightarrow{\delta_3} \bigwedge^2 V \otimes S \xrightarrow{\delta_2} V \otimes S \xrightarrow{\delta_1} S.$$

Truncating this complex to the last three terms, and restricting  $\delta_2$  along the inclusion  $\iota : K \hookrightarrow \bigwedge^2 V$  we obtain a 3-term complex

$$K \otimes S \xrightarrow{\delta_2|_{K \otimes S}} V \otimes S(1) \xrightarrow{\delta_1} S(2). \quad (11)$$

The *Koszul module* associated to the pair  $(V, K)$  is the middle homology of the complex (11). We make the convention that  $K$  is placed in degree zero, so that  $W(V, K)$  is a graded  $S$ -module generated in degree zero. In particular, the degree  $q$  component of  $W(V, K)$  is given by

$$W_q(V, K) = \text{middle homology of } (K \otimes \text{Sym}^q V \longrightarrow V \otimes \text{Sym}^{q+1} V \longrightarrow \text{Sym}^{q+2} V)$$

The formation of the Koszul module  $W(V, K)$  is natural in the following sense. An inclusion  $K \subseteq K'$  induces a surjective morphism of graded  $S$ -modules

$$W(V, K) \twoheadrightarrow W(V, K'), \quad (12)$$

that is, *bigger* subspaces  $K \subseteq \bigwedge^2 V$  correspond to *smaller* Koszul modules. For instance, we have that  $W(V, K) = 0$  if and only if  $K = \bigwedge^2 V$ . We'll be interested more generally in studying Koszul modules of finite length, that is, those that satisfy  $W_q(V, K) = 0$  for  $q \gg 0$ . Since  $W(V, K)$  is generated in degree zero, the vanishing  $W_q(V, K) = 0$  for some  $q \geq 0$  implies that  $W_{q'}(V, K) = 0$  for all  $q' \geq q$ .

We write  $\iota^\vee : \bigwedge^2 V^\vee \twoheadrightarrow K^\vee$  for the dual to the inclusion  $\iota$ , let  $K^\perp := \ker(\iota^\vee) \subseteq \bigwedge^2 V^\vee$  and define the *resonance variety*  $\mathcal{R}(V, K)$  by

$$\mathcal{R}(V, K) := \left\{ a \in V^\vee : \text{there exists } b \in V^\vee \text{ such that } a \wedge b \in K^\perp \setminus \{0\} \right\} \cup \{0\}. \quad (13)$$

**Lemma 11.** *The resonance variety  $\mathcal{R}(V, K)$  coincides with the set-theoretic support of  $W(V, K)$  in the affine space  $V^\vee$ .*

*Proof.* We let  $\mathbf{P} = \mathbb{P}V^\vee$  denote the projective space of one dimensional subspaces of  $V^\vee$ , and consider the **Koszul sheaf**  $\mathcal{W}(V, K)$ , defined by considering the complex of sheaves associated to (11):  $\mathcal{W}(V, K)$  is the middle homology of

$$K \otimes \mathcal{O}_{\mathbf{P}} \longrightarrow V \otimes \mathcal{O}_{\mathbf{P}}(1) \longrightarrow \mathcal{O}_{\mathbf{P}}(2)$$

Since  $W(V, K)$  is a graded module, its set-theoretic support is the affine cone over the support of  $\mathcal{W}(V, K)$ .

If we write  $\Omega = \Omega_{\mathbf{P}}^1$  for the sheaf of differential forms, then the Euler sequence

$$0 \longrightarrow \Omega \longrightarrow V \otimes \mathcal{O}_{\mathbf{P}}(-1) \longrightarrow \mathcal{O}_{\mathbf{P}} \longrightarrow 0 \quad (14)$$

yields the identification  $\ker(V \otimes \mathcal{O}_{\mathbf{P}}(1) \longrightarrow \mathcal{O}_{\mathbf{P}}(2)) = \Omega(2)$ , so that

$$\mathcal{W}(V, K) = \text{coker}(K \otimes \mathcal{O}_{\mathbf{P}} \longrightarrow \Omega(2)).$$

It follows that the support of  $\mathcal{W}(V, K)$  is the locus where the map  $K \otimes \mathcal{O}_{\mathbf{P}} \longrightarrow \Omega(2)$  fails to be surjective. By Nakayama's lemma, this is a condition that can be checked on fibers.

Consider a point  $p = [f] \in \mathbf{P}$ , where  $0 \neq f \in V^\vee$ . The restriction of (14) to the fiber at  $p$  identifies with

$$0 \longrightarrow \ker(f) \longrightarrow V \xrightarrow{f} \mathbf{k} \longrightarrow 0, \quad (15)$$

so the restriction of the map  $K \otimes \mathcal{O}_{\mathbf{P}} \longrightarrow \Omega(2)$  to the fiber at  $p$  is given by the contraction by  $f$  map  $K \longrightarrow \ker(f)$ . It follows that  $p$  is in the support of  $\mathcal{W}(V, K)$  if and only if the corresponding sequence

$$K \longrightarrow V \xrightarrow{f} \mathbf{k}$$

fails to be exact in the middle. This is equivalent to the dual sequence

$$\mathbf{k} \xrightarrow{f} V^\vee \xrightarrow{\wedge f} K^\vee, \quad (16)$$

where the second map is the composition  $V^\vee \xrightarrow{\wedge f} \wedge^2 V^\vee \rightarrow K^\vee$ . It follows that a cycle in (16) is an element  $g \in V^\vee$  with  $g \wedge f \in K^\perp$ , and  $g$  gives a non-trivial homology class if and only if  $g$  is not a multiple of  $f$ , that is, if  $g \wedge f \neq 0$ . Using (13) the existence of such  $g$  is equivalent to the fact that  $f \in \mathcal{R}(V, K)$ , which concludes our proof.  $\square$

It follows from Lemma 11 that  $W(V, K)$  has finite length if and only if  $\mathcal{R}(V, K) = \{0\}$ . In view of (13), this last condition is equivalent to the fact that the linear subspace  $\mathbb{P}K^\perp \subseteq \mathbb{P}(\wedge^2 V^\vee)$  is disjoint from the Grassmann variety

$$\mathbf{G} := \text{Gr}_2(V^\vee)$$

in its Plücker embedding, which can happen only when  $m = \text{codim}(\mathbb{P}K^\perp) > \dim(\mathbf{G}) = 2n - 4$ . Summarizing, we have the following equivalences:

$$\mathbb{P}K^\perp \cap \mathbf{G} = \emptyset \iff \mathcal{R}(V, K) = \{0\} \iff \dim_{\mathbf{k}} W(V, K) < \infty. \quad (17)$$

Moreover, if the equivalent statements in (17) hold, then  $m \geq 2n - 3$ . The following theorem gives a sharp vanishing result for the graded components of a Koszul module with vanishing resonance.

**Theorem 12.** *Suppose that  $n \geq 3$ . If  $\text{char}(\mathbf{k}) = 0$  or  $\text{char}(\mathbf{k}) \geq n - 2$ , then we have the equivalence*

$$\mathcal{R}(V, K) = \{0\} \iff W_q(V, K) = 0 \text{ for } q \geq n - 3. \quad (18)$$

**Exercise 13.** Check Theorem 12 in the case when  $n = 3$ .

**Exercise 14.** Show that if  $\mathcal{R}(V, K) = \{0\}$  then there exists a subspace  $K' \subseteq K$  with  $\dim(K') = 2n - 3$  such that  $\mathcal{R}(V, K') = \{0\}$ . Conclude that the implication “ $\implies$ ” in (18) reduces to the case when  $\dim(K) = 2n - 3$ .

*Proof of Theorem 12.* The implication “ $\impliedby$ ” follows from (17). To prove “ $\implies$ ”, we assume that  $(V, K)$  is such that  $\mathcal{R}(V, K) = \{0\}$  and  $\dim(K) = 2n - 3$ . With notation as in the proof of Lemma 11, it follows that the natural map  $\alpha : K \otimes \mathcal{O}_{\mathbf{P}} \rightarrow \Omega(2)$  is surjective, and therefore it gives rise to an exact Buchsbaum–Rim complex  $\mathcal{B}_\bullet = \mathbf{BR}_\bullet(\alpha)$  with

$$\begin{aligned} \mathcal{B}_0 &= \Omega(2), \quad \mathcal{B}_1 = K \otimes \mathcal{O}_{\mathbf{P}}, \\ \mathcal{B}_r &= \bigwedge^{n+r-2} K \otimes \det(\Omega^\vee(-2)) \otimes D^{r-2}(\Omega^\vee(-2)) \\ &= \bigwedge^{n+r-2} K \otimes \mathcal{O}(-n - 2r + 6) \otimes D^{r-2}(\Omega^\vee) \text{ for } r = 2, \dots, n - 1. \end{aligned}$$

The condition  $W_{n-3}(V, K) = 0$  is equivalent to the fact that after twisting by  $\mathcal{O}_{\mathbf{P}}(n - 3)$ , the induced map on global sections

$$H^0(\mathbf{P}, \mathcal{B}_1(n - 3)) \rightarrow H^0(\mathbf{P}, \mathcal{B}_0(n - 3)) \quad (19)$$

is surjective. Since  $\mathcal{B}_\bullet(n - 3)$  is an exact complex, its hypercohomology groups are all zero. Using the hypercohomology spectral sequence, in order to prove the surjectivity of (19) it suffices to check that the sheaves  $\mathcal{B}_r(n - 3)$  have no cohomology (in fact, it is enough that  $H^{r-1}(\mathbf{P}, \mathcal{B}_r(n - 3)) = 0$ ) for  $r = 2, \dots, n - 1$ . Since  $0 \leq r - 2 \leq n - 3$ , it follows from our hypothesis that  $p = \text{char}(\mathbf{k})$  satisfies  $p = 0$  or  $p > r - 2$ , thus  $D^{r-2}(\Omega^\vee) = \text{Sym}^{r-2}(\Omega^\vee)$ . It follows that

$$\mathcal{B}_r(n - 3) = \bigwedge^{n+r-2} K \otimes \text{Sym}^{r-2}(\Omega^\vee) \otimes \mathcal{O}(-2r + 3), \text{ for } r = 2, \dots, n - 1,$$

and it suffices to check that  $\text{Sym}^{r-2}(\Omega^\vee) \otimes \mathcal{O}(-2r + 3)$  has no non-zero cohomology for  $r = 2, \dots, n - 1$ . Dualizing the Euler sequence (14) and taking symmetric powers we obtain a short exact sequence

$$0 \rightarrow \text{Sym}^{r-3} V \otimes \mathcal{O}(-r) \rightarrow \text{Sym}^{r-2} V \otimes \mathcal{O}(-r + 1) \rightarrow \text{Sym}^{r-2}(\Omega^\vee) \otimes \mathcal{O}(-2r + 3) \rightarrow 0.$$

It is then enough to check that  $\mathcal{O}(-r)$  and  $\mathcal{O}(-r + 1)$  have no non-zero cohomology when  $r = 2, \dots, n - 1$ , which follows from the fact that  $-n < -r, -r + 1 < 0$  and cohomology of line bundles on projective space vanishes in this range.  $\square$

*Remark 15.* If you know about Castelnuovo-Mumford regularity, then you can replace the spectral sequence argument in the proof above with the following (which I learned from Rob Lazarsfeld). Dualizing the Euler sequence (14) we get that  $\Omega^\vee(-1)$  has a two-step resolution  $0 \rightarrow \mathcal{O}(-1) \rightarrow V^\vee \otimes \mathcal{O}$ . Since  $\mathcal{O}$  is 0-regular and  $\mathcal{O}(-1)$  is 1-regular, we conclude that  $\Omega^\vee(-1)$  is 0-regular. A tensor product of copies of  $\Omega^\vee(-1)$  will then also be 0-regular. Under our assumptions,  $D^{r-2}(\Omega^\vee) \otimes \mathcal{O}(-r+2) = D^{r-2}(\Omega^\vee(-1))$  is a direct summand in a tensor product of  $(r-2)$  copies of  $\Omega^\vee(-1)$ , so it is itself 0-regular. Since  $\mathcal{O}(-i)$  is  $i$ -regular for all  $i$ , it follows that  $\mathcal{B}_r(n-3)$  is  $(r-1)$ -regular for  $r \geq 2$ . If we let  $\mathcal{J} = \ker(\mathcal{B}_1(n-3) \rightarrow \mathcal{B}_0(n-3))$  it follows that  $\mathcal{J}$  has a resolution  $\mathcal{B}_{\bullet \geq 2}(n-3)$ , where the  $i$ -th term  $\mathcal{B}_{i+2}(n-3)$  is  $(i+1)$ -regular. This implies that  $\mathcal{J}$  is 1-regular, so that  $H^1(\mathbf{P}, \mathcal{J}) = 0$ . From the long exact sequence

$$\cdots \rightarrow H^0(\mathbf{P}, \mathcal{B}_1(n-3)) \rightarrow H^0(\mathbf{P}, \mathcal{B}_0(n-3)) \rightarrow H^1(\mathbf{P}, \mathcal{J}) \rightarrow \cdots$$

it follows that the map (19) is surjective, as desired.

Experimental evidence suggests that  $\text{char}(\mathbf{k}) \geq n-2$  is the precise hypothesis necessary for (18) to hold. Similarly, the vanishing range  $q \geq n-3$  is optimal, as shown by the following.

**Theorem 16.** *Suppose  $\text{char}(\mathbf{k}) = 0$  or  $\text{char}(\mathbf{k}) \geq n-2$ , and fix a subspace  $K \subseteq \Lambda^2 V$ . If  $\mathcal{R}(V, K) = \{0\}$ , then*

$$\dim W_q(V, K) \leq \binom{n+q-1}{q} \frac{(n-2)(n-q-3)}{q+2} \quad \text{for } q = 0, \dots, n-4.$$

Moreover, equality holds for all  $q$  if  $\dim(K) = 2n-3$ .

The table below records some of the values of  $\dim W_q(V, K)$  in the case when equality holds in Theorem 16.

$q \setminus n$	4	5	6	7	8
0	1	3	6	10	15
1	–	5	16	35	64
2	–	–	21	70	162
3	–	–	–	84	288
4	–	–	–	–	330

(20)

**Exercise 17.** Find a formula for  $\dim(K \otimes \text{Sym}^q V)$  and  $\dim(W_q(V, 0))$ , and check that

$$\dim(W_q(V, 0)) - \dim(K \otimes \text{Sym}^q V) = \binom{n+q-1}{q} \frac{(n-2)(n-q-3)}{q+2} \quad \text{if } \dim(K) = 2n-3.$$

*Proof of Theorem 16.* Using (12) and Exercise 14, we are reduced to the case  $\dim(K) = 2n-3$ . We have that  $W_q(V, K)$  is the cokernel of the natural map

$$\beta_q : K \otimes \text{Sym}^q V \rightarrow W_q(V, 0).$$

When  $q = n - 3$ , the source and target have the same dimension. By Theorem 12,  $W_{n-3}(V, K) = 0$ , so  $\beta_{n-3}$  is an isomorphism, and in particular it is injective. Since  $\beta = \bigoplus_q \beta_q : K \otimes S \rightarrow W(V, 0)$  is a map of  $S$ -modules, whose source is free, it follows that the injectivity of  $\beta_{n-3}$  implies that of  $\beta_q$  for all  $q \leq n - 3$ . This shows that

$$\dim(W_q(V, K)) = \dim(W_0(V, K)) - \dim(K \otimes \text{Sym}^q V) \text{ for } q = 0, \dots, n - 3,$$

and the desired formula follows from Exercise 17. □